

PROGRAM, FINANCE, AND ADMINISTRATION COMMITTEE (PFAC)

RESOLUTION #512

ADOPTION OF REVISED MAJOR PROJECTS PROCEDURES

WHEREAS, the New York Metropolitan Transportation Council (NYMTC) is a regional council of governments which is the metropolitan planning organization (MPO) for New York City, suburban Long Island and the lower Hudson Valley; and

WHEREAS, per 23 U.S. Code § 106(h) and (i), the sponsors of major projects exceeding specified cost thresholds must submit project managements plans and/or annual financial plans to the Secretary of Transportation; and

WHEREAS, per 23 CFR § 627.5(b)(3), any major project (as defined in 23 U.S.C. 106(h)), located on or off of the National Highway System, that utilizes Federal-aid highway funding in any contract or phase comprising the major project must conduct a value engineering analysis prior to final design; and

WHEREAS, on June 19, 2008 through Resolution #258, PFAC adopted Major Projects Analysis and Financial Planning operating procedures, hereinafter referred to as major projects procedures; and

WHEREAS, these major projects procedures were incorporated into the Section III(f) of NYMTC's Compendium of Agreements and Operating Procedures; and

WHEREAS, NYMTC's major projects procedures are proposed to be revised as described in Attachment 1 of this resolution and will replace Section III(f) the Council's Compendium of Agreements and Operating Procedures.

NOW, THEREFORE, BE IT RESOLVED THAT PFAC adopts the revised major projects procedures presented as Attachment 1 to this resolution.

This resolution shall take effect on the seventeenth day of December, two thousand and twenty.

ADOPTED: December 17, 2020

"I hereby certify that the above is a true copy of Resolution #512, Adoption of Revised Major Projects Procedures, and was motioned by Sean Sallie, representing the Nassau/Suffolk Transportation Coordinating Committee and seconded by Ann McGrane, representing the New York City Transportation Coordinating Committee. This Resolution was adopted and passed unanimously."

Ron Epstein, PFAC Chair

Attachment 1- PFAC Resolution #512

MAJOR PROJECTS PROCEDURES FOR THE METROPOLITAN TRANSPORTATION PLANNING PROCESS

The following procedures govern the inclusion of major projects in the metropolitan transportation planning process undertaken by the New York Metropolitan Transportation Council (NYMTC).

1. Designation of Major Projects

For the purposes of NYMTC's planning process, major projects are designated when the following conditions are met:

- a) Individual transportation system enhancement projects funded in whole or in part under Title 23 U.S.C. with an anticipated total cost of \$100 million or more.
- b) Individual transportation system preservation projects funded in whole or in part under Title 23 U.S.C. with an anticipated total cost of \$500 million or more.

Individual projects that consist of multiple phases which, in total, exceed the specified thresholds must be designated.

These major project designation requirements do not apply to transit projects exceeding the specified thresholds that do not include funding under Title 23 U.S.C., administered by the Federal Highway Administration. These transit projects are subject to the Federal Transit Administration's New Starts requirements.

2. Definitions

- a) **System Preservation** includes project and program costs related to the lifecycle replacement, refurbishment, rehabilitation, reconditioning or reconstruction of the components (i.e., equipment and facilities) of the federally supported transportation system under the jurisdiction of NYMTC's member agencies.
- b) **System Enhancements** include project and program costs related to the expansion of the federally supported system's capacity through the addition of new components or the significant expansion of the capacity of existing components to move, people, vehicles and/or goods.

3. Regional Transportation Plan

As part of its designation, a major project must be included in NYMTC's Regional Transportation Plan.

- a) The major project must be specified in the fiscally constrained element of the Plan.
- b) The major project specification in the Plan must include the information specified in Attachment A.

- c) The costs identified in the major project specification must be included in the long-range financial plan to demonstrate that the major project is within the Plan's fiscally constrained element.
- d) All applicable public review requirements related to the adoption or amendment of the Plan must be followed to specify a major project.

Attachment A

NEW YORK METROPOLITAN TRANSPORTATION COUNCIL

Major Projects Form

Project Name:
Sponsoring Agency:
Purpose & Need:
Project Description:
Alternatives Considered:
EJ-Environmental-Historic Preservation Implications:
Other Information:
Total Projected Cost (\$M): Anticipated Fund Sources & Amounts: Anticipated Completion Year: